Title: Expression of BMP-4 in dentigerous cyst and ameloblastoma: Is it a differentiation measure?

Running title: BMP-4 in dentigerous cyst and ameloblastoma

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Abstract

Purpose: This study aimed to determine the expression of Bone Morphogenic Protein-4 (BMP-4) in dentigerous cyst (DC), unicystic-ameloblastoma (UA), and Multicystic-ameloblastoma (MA), and assess whether this marker can be a differentiation measure.

Materials and methods: This study included 30 DC, 30 UA, and 30 MA blocks if the histopathologic diagnosis of the lesion was definitive, the clinical information and medical records were complete, and the microscopic slides and the paraffin block were available. Age, gender, and location of the lesion were recorded. The samples were analyzed after the immunohistochemical staining (Envision technique). BMP-4 marker was evaluated and reported using Intensity Score (IS), Proportional Score (PS), and Total score (TS). The data were analyzed using SPSS version 21.0. Kruskal-Wallis and Mann-Whitney U tests were applied at the significance level of 0.05.

Results: In this study, DCs, UA, and MA had a significant tendency to occur in males compared to females ($P<0.001$, $P<0.001$, and $P<0.001$ respectively), and in the mandible compared to the maxilla ($P=0.02$, $P=0.024$, and $P=0.02$ respectively). The epithelial IS was significantly different among three lesions ($P<0.001$). IS was higher in MA than UA and DC ($P<0.001$ and $P=0.006$, respectively). The IS was not significantly different among the three lesions in connective tissue and around micro-vessels ($P=0.3$ and $P=0.26$ respectively). The PS in the epithelium and connective tissue of DC, UA, and MA had no statistical difference ($P=0.549$ and $P=0.540$ respectively). The epithelial TS was statistically different among DC, UA, and MA ($P<0.001$). The TS was higher in UA than MA and DC ($P=0.004$ and $P<0.001$ respectively).

Conclusion: The expression of BMP-4 in the epithelium was higher in ameloblastoma compared to DCs. BMP-4 is a potential measure to differentiate different types of ameloblastoma and dentigerous cyst. The differentiation of these lesions is important as the right treatment plan changes according to the diagnosis.

Keywords: Ameloblastoma, dentigerous cyst, BMP4, Histopathological Assessment, Staining

DENTİGERÖZ KİST VE AMELOBLASTOMADA BMP-4 EKSPRESYONU AYIRICI BİR YÖNTEM MİDİR?

Öz

Giriş: Bu çalışmanın amacı dentigeröz kist (DK), unikistik ameloblastoma (UA) ve Multi-kistik ameloblastoma (MA) olgularında kemik morfogenezik protein 4 ekspresyonunu belirlemek ve bu değerlerin lezyonların ayrılmasında yardımcı olup olmayacağını incelemesidir.

Gereç ve yöntem

Bu çalışma, lezyonun histopatolojik tanısı kesinleşmiş, klinik bilgileri ve tıbbi kayıtları tam olan ve mikroskopik slaytları ve parafin blokları bulunan 30 DK, 30 UA ve 30 MK bloklarını içeriyordu. Lezyonun yaşı, cinsiyeti ve yeri kaydedildi. Örnekler immünohistokimyasal boyamadan (Envision tekniği) sonra analiz edildi. BMP-4 belirteci Yoğunluk Skoru (IS), Orantılı Skor (PS) ve Toplam Skor (TS) kullanılarak değerlendirildi ve rapor edildi. Veriler SPSS 21.0 versiyonu kullanılarak analiz edildi. Kruskal-Wallis ve Mann-Whitney U testleri 0.05 anlamlılık düzeyinde uygulandı.

Bulgular

Bu çalışmada DK'ler, UA ve MA, erkeklerde kadınlara göre (sırasıyla $P<0,001$, $P<0,001$ ve $P<0,001$) ve maksillaya kıyasla mandibulada ($P=0,02$, $P=0,024$ ve $P=0,02$ sırasıyla) daha fazlaydı. Epitelial IS, üç lezyon arasında önemli ölçüde farklıydı ($P<0,001$). IS, MA'da UA ve DK'den daha yüksekti (sırasıyla $P<0,001$ ve $P=0,006$). Bağ dokusunda ve mikrodamarların çevresindeki üç lezyon arasında IS anlamlı şekilde farklı değildi (sırasıyla $P=0,3$ ve $P=0,26$). DC, UA ve MA'nın epitelindeki ve bağ dokusundaki PS'de istatistiksel bir fark yoktu (sırasıyla $P=0,549$ ve $P=0,540$). Epitelial TS, DK, UA ve MA arasında istatistiksel olarak farklıydı ($P<0,001$). TS, UA'da MA ve DK'den daha yüksekti (sırasıyla $P=0,004$ ve $P<0,001$).

Sonuç

Epitelde BMP-4 ekspresyonu DK'lere kıyasla ameloblastomda daha yüksekti. BMP-4, farklı ameloblastom ve dentigeröz kist türlerini ayırt etmek için potansiyel bir ölçüttür. Tanıya göre doğru tedavi planı değiştiği için bu lezyonların ayrımı önemlidir.

Anahtar Kelimeler

Ameloblastoma, dentigeröz kist, BMP4, Histopatolojik Değerlendirme, Boyama

Introduction

With a prevalence of 14 to 24%, DC is the second most common odontogenic cyst, assumed to originate from the dental follicle, however, its pathogenesis is still unclear (1–3). This cyst is frequently associated with the crown of an impacted, embedded, or an unerupted permanent tooth and is rarely associated with an odontoma, a developing tooth, or a deciduous tooth (2,3). DC mostly involve third molars followed by maxillary canines, mandibular premolars, and mandibular canines (3). DCs may lead to displacement and root resorption of associated or adjacent teeth if larger than 2cm or getting infectious (1). Generally, DCs are asymptomatic and are detected during routine radiographic examination with the appearance of a well-defined unilocular lesion (2, 3).

Ameloblastoma represents 19% of all odontogenic tumors (4). Ameloblastoma is a locally invasive benign odontogenic tumor derived from remaining tooth-forming components and the epithelial lining of dentigerous cysts (3, 4). The tumor presents as desmoplastic, peripheral, uni-cystic, or multi-cystic forms (4). UA with a prevalence of 5–15% of all reported cases, occurs in the young population in their 2nd or 3rd decade and may appear as a unilocular radiolucency with scalloped or lobulated border in radiographic images (4, 5). MA is more common in a wider age range compared to UA and is more aggressive (4). The histopathological confusion between DC and UA is possible and has long been known. However, the diagnosis is important because of different treatment plans. DCs and UAs can be treated with enucleation or curettage, while other types of ameloblastoma require resection with an adequate margin of normal tissue (6, 7).

Bone Morphogenic Protein (BMP) is a growth factor and participates in cellular proliferation, extracellular matrix production, and differentiation of neoplastic tissues (8, 9). This study aimed to determine if BMP-4 can be used as a factor to differentiate odontogenic cysts such as DC from odontogenic tumors like UA and MA. The null hypothesis was that there are no differences in the BMP-4 expressions of DC, UA and MA.

Materials and Methods

This was a retrospective cross-sectional descriptive-analytic study.

Ethical statement

This project has been reviewed and approved by the Ethical Committee of Shahid Beheshti University of Medical Sciences, Tehran, Iran. (IR.SBMU.REC.1395.330)

Specimen selection

The microscopic slides were included in the study if the histopathologic diagnosis of the lesion was definitive, the clinical information and medical records were complete, and the microscopic slides and the paraffin block were available. The samples were excluded if the diagnosis after re-examination did not confirm the previous diagnosis and if the tissue sample was not sufficient for immunohistochemical (IHC) analysis.

The biopsies of DC and ameloblastoma from 2010 to 2020 were obtained from the archive of the oral pathology department of the dentistry faculty of Shahid Beheshti University of Medical Sciences. 30 DC, 30 UA, and 30 MA blocks were selected. The samples were re-evaluated by an expert pathologist and the diagnoses were confirmed. Information such as age, gender, and location of the lesion were extracted from the medical records.

Sample size estimation

To calculate the sample size, information about MP4 marker expression by immunohistochemistry was used in the two groups of dentigerous cyst and odontogenic keratocyst related to the article by Kim *et al.* To do this, a formula suitable for comparing ratios

in two independent societies and generalizing it to three groups has been used. Considering the statistical power of 0.975%, the error level of 0.05 and the expression ratio of 30.3 in the Dentigerous group and 97.1 in the Odontogenic keratocyst group, the minimum sample size of 23 was obtained. Which was considered for each group of 30 to achieve higher power (20) Formula is presented in Figure 1.

$$n_0 = \frac{\left[z_{1-\frac{\alpha}{2}} \cdot \sqrt{2\bar{p}(1-\bar{p})} + z_{1-\beta} \cdot \sqrt{p_1(1-p_1) + p_2(1-p_2)} \right]^2}{(p_1 - p_2)^2} = 15.08 \cong 16$$

$$\bar{p} = \frac{p_1 + p_2}{2}$$

$$n = n_0 \times \sqrt{g-1} = 16 \times \sqrt{2} = 22.63 \cong 23$$

Figure 1. Formula used for sample size calculation.

Specimen preparation and IHC staining

Following these steps, the samples were prepared for the IHC analysis of the BMP-4 marker. 5-micrometer-thick sections were cut from paraffin-embedded tissue blocks and IHC staining of BMP-4 was performed using the Envision technique. To deparaffinize the samples, the tissues were respectively placed in 100% Xylen, 99% alcohol, 96% alcohol, and 25% alcohol for 2-3 minutes. The samples were rinsed with distilled water for 2-3 minutes to remove the alcohol. To deactivate endogen peroxidase, the tissue was covered with a drop of Peroxidase Blocking Reagent (USA thermo TA-262-H222Q) for 10 minutes at room temperature. Then the tissue was immersed in a solution with a PH of 7.6 for 5 minutes. The remaining peroxidase blocking reagent was rinsed twice with distilled water for 2-3 minutes each time. Antigen retrieval was carried out by placing the tissue sample in a plastic Coplin jar containing retrieval solution at PH 6. The jars were then macro-waved for 7 minutes (power of 450 W), and cooled down for 5 minutes, and macro-waved for 15 minutes again (Power of 800 W). The samples were kept at room temperature and were rinsed with Tris Buffered Saline (TBS) solution with a PH of 7.6. Later, the samples were incubated by the primary anti-bodies for 1-hour and were then rinsed with TBS solution with a PH of 7.6 for 5 minutes. Afterward, the samples were incubated by Primary Antibody Amplifier Quanto (USA Thermo TL-060-QPB) for 10 minutes and rinsed again for 5 minutes with the same solution as the previous step. The samples were incubated with HRP Polymer Quanto (USA Thermo TL-060-QPH) for 10 minutes and rinsed with TBS solution (PH=7.6) for 5 minutes. The samples were immersed in diaminobenzidine (DAB USA Thermo TA-222-QHCX) for 5 minutes until a brownish reaction was observed. The samples were washed with tap water for 5 minutes and placed in distilled water for 5 minutes. Finally, the sections were counterstained with hematoxylin for 30 seconds, and with lithium carbonate for 5 minutes, then rinsed with tap water. The samples were mounted afterward.

Specimens assessment

Squamous Cell Carcinoma (SCC) sections were used as a positive control and tumoral sections which were incubated with Tris-buffered saline (TBS) instead of primary antibodies were used as a negative control.

The immune-expression of BMP-4 in microscopic slides were evaluated under a light microscope at x100 magnification. The staining was considered positive if the cytoplasm of tumoral cells were brown stained (Figure 1-3).

Intensity score (IS) was recorded as score 0 (No staining or <10% staining), score 1 (incomplete membranous staining of weak to moderate intensity in 10%< of the cells), score 2 (complete membranous staining of moderate intensity in 10%< of the cells), and score 3 (complete membranous staining of strong intensity in 10%< of the cells) for the assessment of BMP-4 marker in the epithelium, connective tissue, and around micro-vessels. Proportional Score (PS) of BMP-4 marker was measured as score 0 (no stained cell), score 1 (<20% stained cells), score 2 (20-80% stained cells), and score 3 (80%< stained cells) in the epithelium and connective tissue. Total score (TS) was reported for epithelium and connective tissue by summing the IS and PS of the BMP-4 marker.

Statistical analysis

The data were imported to Statistical Package for Social Sciences (SPSS) for Windows software, version 21.0 (IBM Corp, Armonk, NY, USA). Frequency was applied to determine the characteristics of the sample. The chi-square test was used to compare the demographic distribution among DC, UA, and MA. Since the data distribution was not normal, the nonparametric Kruskal-Wallis one-way analysis of variance by ranks and Mann-Whitney U tests were used for the multiple and pairwise comparisons, respectively. The confidence interval was set to 95% and the significance level was set at 0.05.

Results

In this study, the expression of BMP-4 was assessed in 30 DC, 30 UA, and 30 MA microscopic slides using IHC analysis. The data distribution is presented in table1. According to intra-group comparison, no statistical difference was found among the three study groups in terms of age, gender, and the location of the lesions ($P=0.1$, $P=0.1$ and $P=0.1$ respectively). The mean age of patients was 31.9 ± 17.8 , 30.7 ± 17.9 , and 39.6 ± 16.9 in DC, UA, and MA groups, respectively.

The prevalence of the pathology was higher in males than females in DC, UA, and MA study groups ($P<0.001$, $P<0.001$, and $P<0.001$ respectively), and higher in the mandible than the maxilla ($P=0.02$, $P=0.024$, and $P=0.02$ respectively). The IHC analysis of BMP-4 markers in the epithelium, connective tissue, and micro-vessels of 3 lesions is reported in Table2-4.

The IS of BMP-4 marker in the epithelium was significantly different among the three lesions ($P<0.001$, $df=2$, $\chi^2=40.947$). The Mean of IS was 26.72 in DC, 42.18 in UA, and 67.6 in MA. MA had a significantly higher IS compared to UA and DC ($P<0.001$ and $P=0.006$, respectively). Also, the IS in UA lesions was significantly higher than in DC lesions ($P<0.001$). The differences of IS in the connective tissue and the micro-vessels of DC, UA, and MA lesions were not statistically significant ($P=0.389$ and $P=0.26$, respectively).

The PS of the BMP-4 marker in the epithelium and connective tissue was not statistically different among the three lesions ($P=0.549$ and $P=0.540$, respectively).

The TS of BMP-4 marker in the epithelium was statistically different in DC, UA, and MA lesions ($P<0.001$, $df=2$, $\chi^2=23.857$). The results showed that UA had a significantly higher TS compared to MA and DC ($P=0.004$ and $P<0.001$ respectively), and MA had a significant higher TS compared to DC ($P<0.001$). The difference of TS was not significant between DC, UA, and MA lesions in connective tissue ($P=0.424$).

Figure 1. The microscopic view of dentigerous cyst after immunohistochemical staining indicate BMP-4 marker. ($\times 400$)

Figure 2. The microscopic view of Unicystic-ameloblastoma after immunohistochemical staining indicate BMP-4 marker. ($\times 400$)

Figure 3. The microscopic view of Multicystic-ameloblastoma after immunohistochemical staining to indicate BMP-4 marker. ($\times 200$)

Table 1. The data distribution of patients with Dentigerous Cyst, Unicystic Ameloblastoma and Multicystic Ameloblastoma according to gender and the location of the lesion in percent (number).

The type of the lesions	Gender		The location of the lesions	
	Female	Male	Maxilla	Mandible
Dentigerous cyst	36.7% (11)	63.3% (19)	30% (9)	70% (21)
Unicystic Ameloblastoma	23.3% (7)	76.7% (23)	16.7% (5)	83.3% (25)
Multicystic Ameloblastoma	13.3% (4)	87.6% (26)	3.3% (1)	96.7% (29)
Total	24.4% (22)	75.6% (68)	16.7% (15)	83.3% (75)

Table 2. The IS, PS and TS of BMP-4 marker in the epithelium of Dentigerous Cyst, Unicystic Ameloblastoma and Multicystic Ameloblastoma.

The type of the lesions	Intensity Score				Proportional Score			Total Score
	Score 0	Score 1	Score 2	Score 3	Score 1	Score 2	Score 3	
Dentigerous cyst	63.3% (19)	33.3% (10)	0 (0)	3.3% (1)	33.3% (10)	20% (6)	46.7% (14)	30.6

Unicystic Ameloblastoma	0 (0)	20% (6)	60% (18)	20% (6)	16.7% (5)	46.7% (14)	36.7% (11)	62.45
Multicystic Ameloblastoma	23.3% (10)	36.7% (11)	26.7% (8)	3.3% (1)	43.3% (13)	13.3% (4)	43.3% (13)	43.5

Table 3. The IS, PS and TS of BMP-4 marker in the connective tissue of Dentigerous Cyst, Unicystic Ameloblastoma and Multicystic Ameloblastoma.

The type of the lesions	Intensity Score				Proportional Score			Total Score
	Score 0	Score 1	Score 2	Score 3	Score 1	Score 2	Score 3	
Dentigerous cyst	66.7% (20)	23% (7)	10% (3)	0 (0)	80% (24)	20% (6)	0 (0)	43.06
Unicystic Ameloblastoma	53.6% (16)	23% (7)	20% (6)	3.3% (1)	70% (21)	30% (9)	0 (0)	49.92
Multicystic Ameloblastoma	66.7% (20)	20% (6)	10% (3)	3.3% (1)	76.7% (23)	23.3% (7)	0 (0)	43.52

Table 4. The IS of BMP-4 marker around the micro-vessels of Dentigerous Cyst, Unicystic Ameloblastoma and Multicystic Ameloblastoma.

The type of the lesions	Intensity Score			
	Score 0	Score 1	Score 2	Score 3
Dentigerous cyst	70% (21)	16.7% (5)	13.3% (4)	3.3% (1)
Unicystic Ameloblastoma	56.7% (17)	23% (7)	13.3% (4)	6.7% (2)
Multicystic Ameloblastoma	73.3% (22)	20% (6)	6.7% (10)	0 (0)

Discussion

This study assessed the expression of BMP-4 in DC, UA, and MA microscopic slides. In this study, the occurrence of DC, UA, and MA was significantly higher in males and was more common in the mandible. In terms of gender, Açıkgöz *et al.* (10) found the same results in the Turkish population. Açıkgöz *et al.* (10) investigated the distribution and number of odontogenic and non-odontogenic cysts in a 9year study. In their study, out of 122 patients with DCs, 56 were women (45.6%) and 66 (54.1%) were men. Similarly, Ramachandra *et al.* (11) studied the prevalence of odontogenic cysts over 5 years in India. In their study, 24 of the 45 DCs samples were male (53.33%) and 21 were female (46.67%). In agreement, Meningaud *et al.* (12) reported that among 154 samples of DCs 108 were male and 46 were female. Also, Alvelar *et al.* (13) assessed the prevalence of odontogenic tumors in the Brazilian population

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and reported that 30 of 57 patients were male and 27 were female. Sah *et al.* (14) reported that 5 and 13 of 18 subjects diagnosed with UA were respectively female and male. In contrary to the results of the above-mentioned studies, Olgac *et al.* (15) carried out a study to examine odontogenic tumors over 32 years in Turkey in 2006 and their results showed that out of 133 samples of ameloblastoma tumors, 58 samples were men and 75 were women. This difference may be associated with the different study populations.

The results of the current study showed that the prevalence of DC, UA, and MA was higher in the mandible compared to the maxilla. Açıkgöz *et al.* (10) also found that 34 samples of DCs were in the maxilla while 88 samples were observed in the mandible. Ramachandra *et al.* (11) reported that 20 of the 45 DCs were located in the maxilla and 25 of them were found in the mandible. Núñez-Urrutia *et al.* (16) evaluated the prevalence of odontogenic cysts in Spain over 10 years and found that among 91 subjects, 63 cysts were located in the mandible and 28 cysts were in the maxilla. Alvelar *et al.* (13) showed that 48 lesions were located in the mandible and 9 lesions were found in the maxilla. Sah *et al.* (14) claimed that 17 samples of 18 UA were located in the mandible and one sample was found in the maxilla. Olgac *et al.* (15) reported that 15 samples of odontogenic tumors were seen in the upper jaw and 118 samples in the lower jaw. Considering the above-mentioned studies, DC and Ameloblastoma are located preferably in the mandible which is confirmed in this study.

BMP-4 is a bone morphogenetic protein belonging to the TGF- β family (17). The absence of BMP-4 leads to severe defects in osteogenesis (17). BMP-4 affects normal epithelial cell differentiation and odontogenic epithelium malignancies through epithelial-mesenchymal reaction, also, results in cytodifferentiation of ameloblastoma histologic subtypes (18). Some studies have evaluated the expression of BMP-4 markers in odontogenic cysts and tumors but no study, up to the authors' knowledge, has compared the expression of BMP-4 marker in DCs and ameloblastoma as a possible measure to differentiate these lesions (19–22).

The study of Kumamoto *et al.* (19) was performed to assess the role of BMPs in the differentiation of odontogenic tumors. 37 samples of ameloblastoma, 6 samples of Adenomatoid odontogenic tumor (AOT), and 5 samples of malignant ameloblastoma were compared to 10 third mandibular molar follicles using RT-PCR, IHC to determine BMP-2, BMP-4, BMP-7 markers, and Osterix, CBFAI, BMPRII, and BMPRI receptors. The results showed that mRNA expression resulting from BMPs and other related molecules are found in all odontogenic tumors.

Kim *et al.* (20) conducted a study to compare the expression of BMP-4 in Odontogenic kerato-cyst (OKC) and DC using IHC and in situ hybridization. The results indicated that BMP-4 had higher expression in OKC compared to DC especially in the recurrent form of OKC. In the current study, also, BMP-4 had higher expression in UA and MA compared to DC.

Ruhin-Poncet *et al.* (21) evaluated the expression of BMPs, Dlx, and Msx in odontogenic epithelial tumors (recurrent ameloblastoma and central calcifying odontogenic cyst (CCOC)). They stated that Dlx, and Msx were found in ameloblastoma unlike CCOC. In contrast, BMP-2 was only found in CCOC while BMP-4 was expressed in both tumors. The findings of Ruhin-Poncet study were in agreement with the current study reporting the expression of BMP-4 in ameloblastoma.

Also, Nascimento *et al.* (9) studied the expression of BMP-2, BMP-4, and their receptors in AOT and ameloblastoma using IHC. In MA, positive correlations were observed between the stromal and parenchymal expression of BMP-2 and between the stromal expression of BMP-2 and BMP-4, as well as between the stromal expression of BMPRII and BMP-4 and the stromal and parenchymal expression of BMPRII. In UAs, a correlation was detected between the stromal and parenchymal expression of BMP-4 and between the stromal expression of BMP-4 and BMPRII. In AOTs, analysis of immune-expression in the parenchyma revealed

positive correlations between all proteins. BMPs and their receptors play an important role in the differentiation and development of ameloblastoma and AOTs, but may not explain the different biological behaviors of these lesions. The positive correlation observed in AOTs might be related to the formation of mineralized material in this tumor.

Not assessing the relation of clinical behaviors of the lesions and the expressions of BMP-4 is one of the limitations of this study. Also, the expression of BMP-4 was not compared among different types of ameloblastoma.

Conclusion

Considering the limitation of this study, it was found that the expression of BMP-4 in the epithelium was significantly higher in ameloblastoma compared to DCs. However, the difference was not significant in the connective tissue and around the micro-vessels. The IS of the BMP-4 marker was higher in MA compared to UA, while the TS of the BMP-4 marker was higher in the UA compared to MA. According to the findings of this study, it can be concluded that BMP-4 is a potential measure to differentiate different types of ameloblastoma and dentigerous cyst. The differentiation of these lesions is of importance as the right treatment plan changes according to the diagnosis.

Ethics Committee Approval: This project has been reviewed and approved by the Ethical Committee of Shahid Beheshti University of Medical Sciences, Tehran, Iran. (IR.SBMU.REC.1395.330)

Informed Consent: Participants provided informed consent.

Peer-review: Externally peer-reviewed.

Author contributions: SS, MZ participated in designing the study. SS, MZ participated in generating the data for the study. SS, MZ participated in gathering the data for the study. DM, MZ participated in the analysis of the data. DM wrote the majority of the original draft of the paper. SS, DM, MZ participated in writing the paper. SS, DM, MZ have had access to all of the raw data of the study. SS, DM, MZ have reviewed the pertinent raw data on which the results and conclusions of this study are based. SS, DM, MZ have approved the final version of this paper. SS, DM, MZ guarantee that all individuals who meet the Journal's authorship criteria are included as authors of this paper.

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